

Guarantee SBLC Advised - Claim Settlement Islamic User Guide

# **Oracle Banking Trade Finance Process Management**

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Oracle Banking Trade Finance Process Management - Guarantee SBLC Advised- Claim Settlement Islamic User Guide  
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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Guarantee SBLC Advise Amendment Claim Settlement process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

### 3. Guarantee SBLC Advised - Claim Settlement Islamic

The claim requested for Guarantee/SBLC can be settled through the Settlement Process.

The various stages involved for Claim Settlement of Guarantee Issued are:

- Receive and verify documents- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents
- Verify documents and capture details- Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for charges
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advice Claim Settlement process flow is similar to that of conventional Guarantee Advice process flow.

This section contains the following topics:.

[3.1 Common Initiation Stage](#)

[3.2 Registration](#)

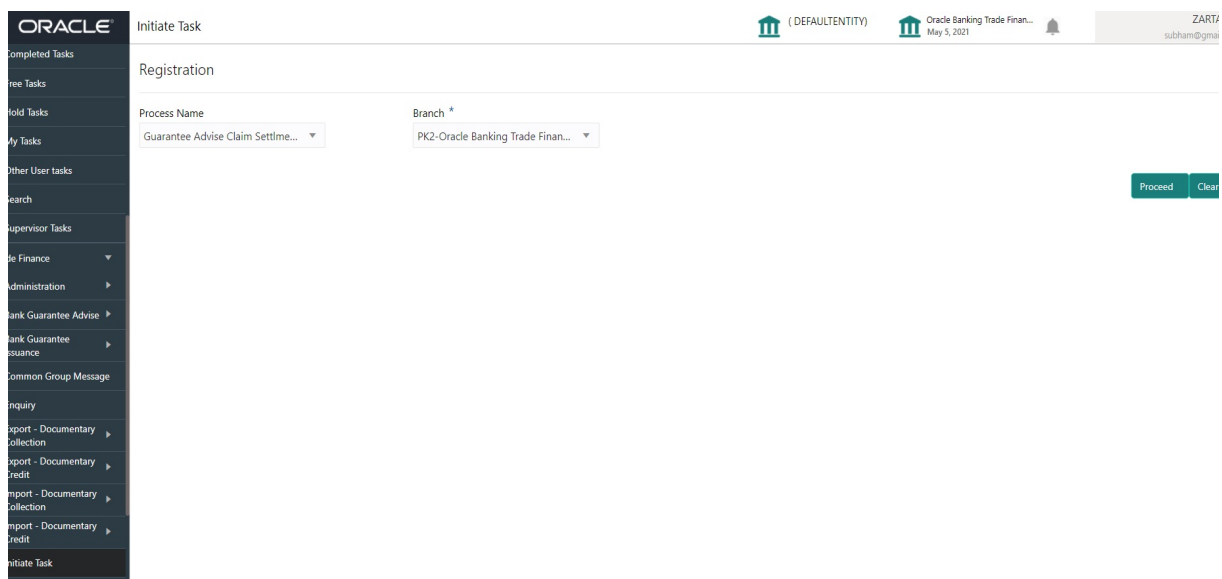
[3.3 Data Enrichment](#)

[3.4 Multi Level Approval](#)

#### 3.1 Common Initiation Stage

The user can initiate the new settlement for a claim lodged under a Islamic Guarantee/SBLC advised request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

### 3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

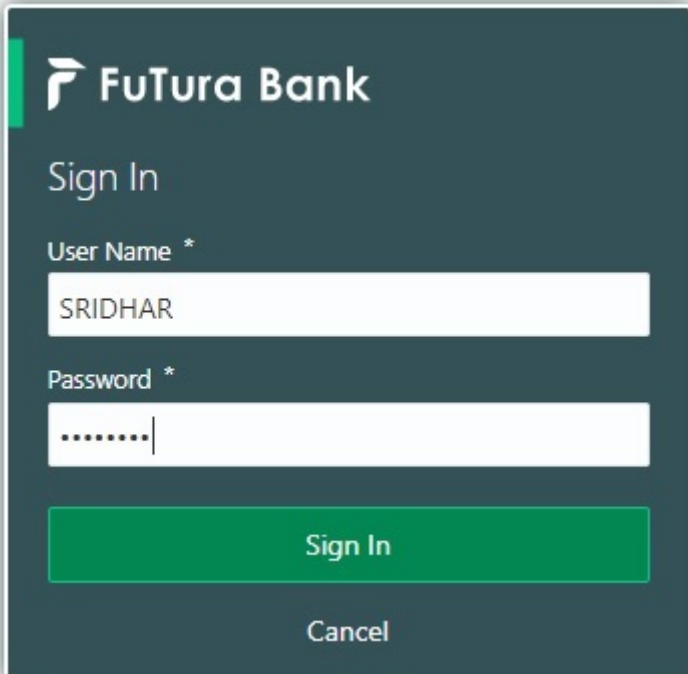
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## 3.2 Registration

During the Registration stage, the user can register settlement of claim under a Guarantee/ SBLC Advised.

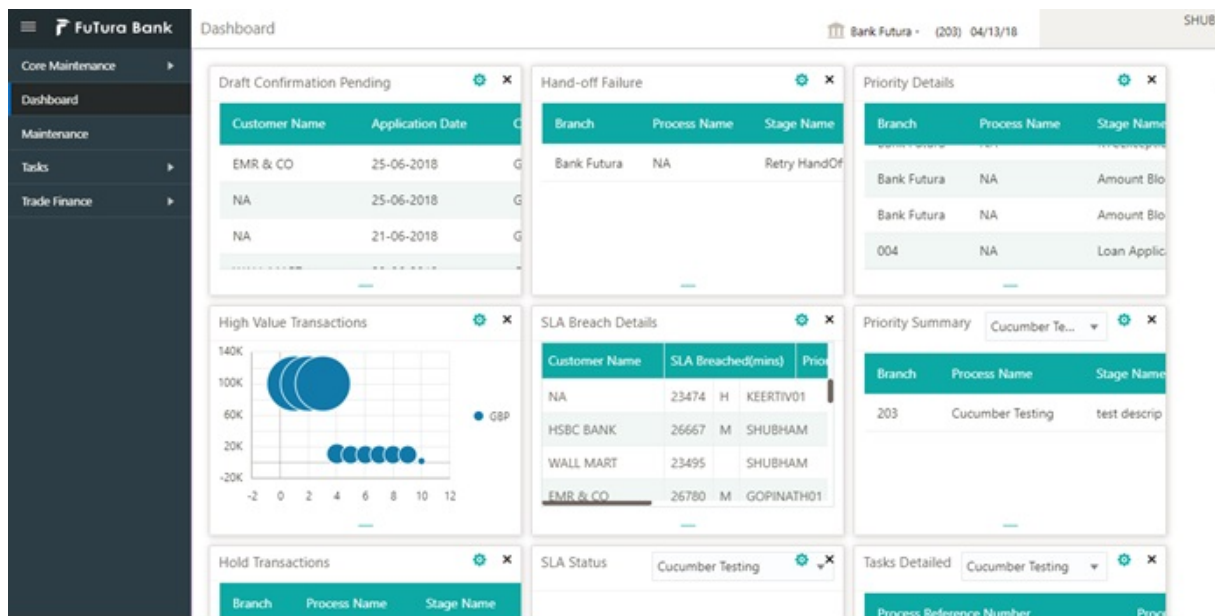
In this stage the user can initiate Settlement of Claim under a Guarantee/ SBLC Advised. The user can capture the basic details of the application.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

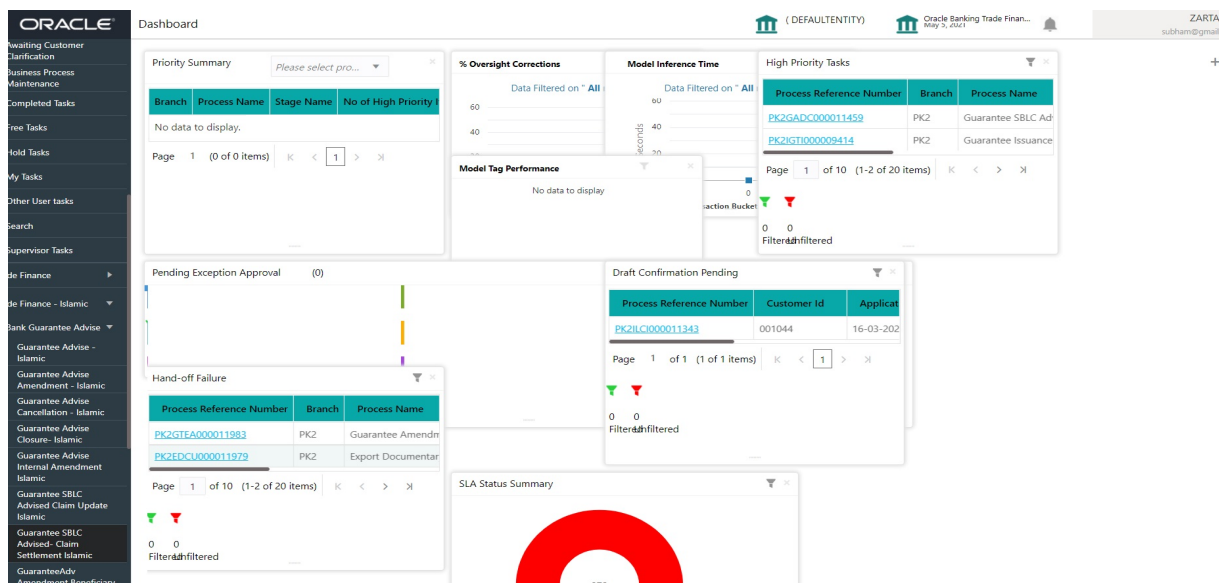
A screenshot of the FuTura Bank Sign In interface. The background is dark teal. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the text 'SRIDHAR' entered, and 'Password \*' with masked characters '.....'. Below the input fields are two buttons: a large green 'Sign In' button and a smaller, lighter green 'Cancel' button.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance - Islamic > Bank Guarantee Advise> Guarantee SBLC Advised - Claim Settlement Islamic.**



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

### 3.2.1 Application Details

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Guarantee/SBLC Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Claim Serial Number	Read only field. System defaults the claim serial number to which update has to be done.	
Beneficiary ID/ Name	Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC Advise.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Advise.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134

Field	Description	Sample Values
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.  The user can change the priority.	High
Submission Mode	Select the submission mode of Guarantee Advise request. By default the submission mode will have the value as 'Desk'.  <b>Desk</b> - Request received through Desk <b>Fax</b> - Request received through Fax <b>Email</b> - Request received through Email	Desk
Claim Settlement Date	By default, the application will display branch's current date for the claim settlement date. Read only field.  <div style="text-align: center;"><b>Note</b></div> Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	User can enter the Beneficiary Reference Number if available.	
Issuing Bank	Read only field.  System defaults the Issuing Bank (applicable for CTB,LTB)	
Issuing Bank Reference Number	Read only field. System defaults the Issuing Bank Reference (applicable for CTB,LTB)	203GTEISS000001134
Version	Read only field.  System defaults the version number.	
User Reference Number	Read only field.  System defaults the user reference number from Guarantee/ SBLC claim..	PK2GUI1211440001
Claiming Bank	Read only field.  The Claiming Party from whom the claim under the Bank Guarantee issued is received while lodging the Guarantee Claim.	
Claiming Bank Name & Address	Read only field.  This field displays the claiming bank details, that is bank ID and name.	
Claiming Bank Reference	Read only field.  This field displays the claiming bank reference number.	

### 3.2.2 Guarantee Details

Registration user can provide Guarantee details in this section.

The screenshot shows the 'Guarantee Details' form in Oracle. The fields and their values are as follows:

- Guarantee Type:** ADVP
- Date of Issue:** Aug 3, 2023
- Purpose of Message:** ADVI
- 23B Expiry Type:** OPEN
- Claim Expiry Date:** Aug 3, 2026
- Outstanding Currency/ Amount:** AED 1,000.00
- Applicable Rules:** URG - Uniform rules for dema...
- Applicant Bank:** (empty)
- Advise Through Bank:** (empty)
- 50 Applicant:** 032205 Aldar Properties
- Counter Guarantee Issuing Bank:** (empty)
- Unlinked FX rate:** (empty)
- 59A Beneficiary:** 032204 Air Arabia
- Local Guarantee Issuing Bank:** (empty)
- 79 Narrative - MT799:** (empty)

Provide the Guarantee Details based on the description in the following table:

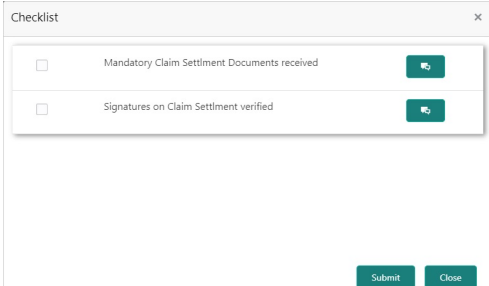
Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ Standby Advised.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ Standby Advised.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ Standby Advised.	
Expiry Type	Read only field. System defaults the expiry type from Guarantee/ Standby Advised.	
Date Of Expiry	Expiry date of the Guarantee Advise. System defaults the expiry date from Guarantee/ Standby Advised.	09/30/18
Claim Date	System defaults the claim date from Guarantee/ Standby Advised.	04/13/2018
Claim Expiry Date	System defaults the claim expiry date from Guarantee/ Standby Advised.	04/13/2018
Outstanding Currency/ Amount	System defaults the outstanding currency and amount from Guarantee/ Standby Advised.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ Standby Advised.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ Standby Advised.	001345 Nestle

Field	Description	Sample Values
Applicant	Read only field. System defaults the applicant from Guarantee/ Standby Advised.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ Standby Advised.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issu- ing Bank	Read only field. System defaults the counter guarantee issuing through bank from Guarantee/ Standby Advised.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank from Guarantee/ Standby Advised.	
Claim Amount	Read only field. The claim amount.	
Claim Payment Amount	User can select the currency and enter the claim payment amount.	
Unlinked FX rate	Read only field. If claim currency is different from local currency system will display the unlinked FX rate.	
Narrative - MT799	Specify or click search icon to search and select the narrative.	

### 3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User can upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	User can enter the additional information regarding the Claim Guarantee Advise Settlement. This information can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View Guarantee/SBLC	User can view all the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Cancel	The Task gets cancelled and system should clear the details captured in the screen. The task will be deleted.	
Save & Close	Save the information provided and holds the task in 'My Task' for working later. This option will not submit the request.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <ol style="list-style-type: none"> <li>1. Signatures on Claim verified</li> <li>2. Mandatory claim Documents received</li> </ol> 	

### 3.3 Data Enrichment

On successful completion of Registration of a Islamic Guarantee SBLC Advise - Claim settlement request, the request moves to Data Enrichment stage. At this stage the bank user can input/update the various claim fields. The user can input the transaction details.

At this stage the gathered information during Registration stage and claim settlement request are scrutinized and enter the data as required.

---

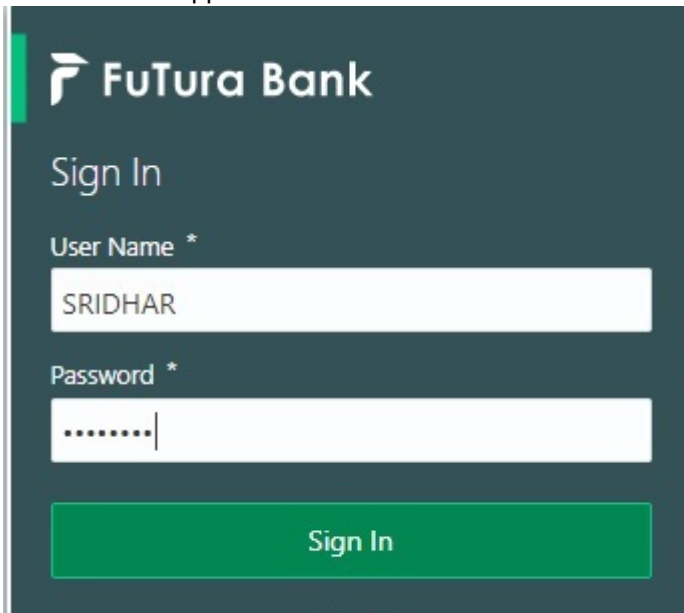
#### Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

---

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

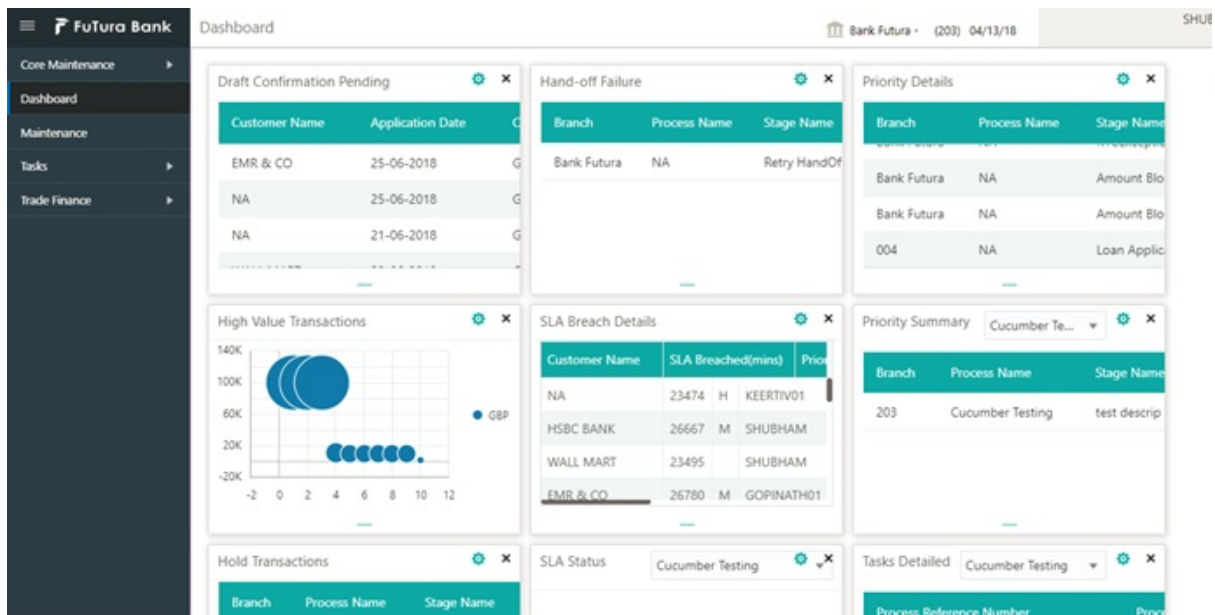
SRIDHAR

Password \*

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



**FuTura Bank** Dashboard

Bank Futura - (203) 04/13/18 SHUBHAM

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

**Draft Confirmation Pending**

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

**Hand-off Failure**

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

**Priority Details**

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

**High Value Transactions**

140K  
100K  
60K  
20K  
-20K

-2 0 2 4 6 8 10 12

GBP

**SLA Breach Details**

Customer Name	SLA Breached(mins)	Prio
NA	23474	H KEERTIVO1
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

**Priority Summary** Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

**Hold Transactions**

Branch	Process Name	Stage Name
--------	--------------	------------

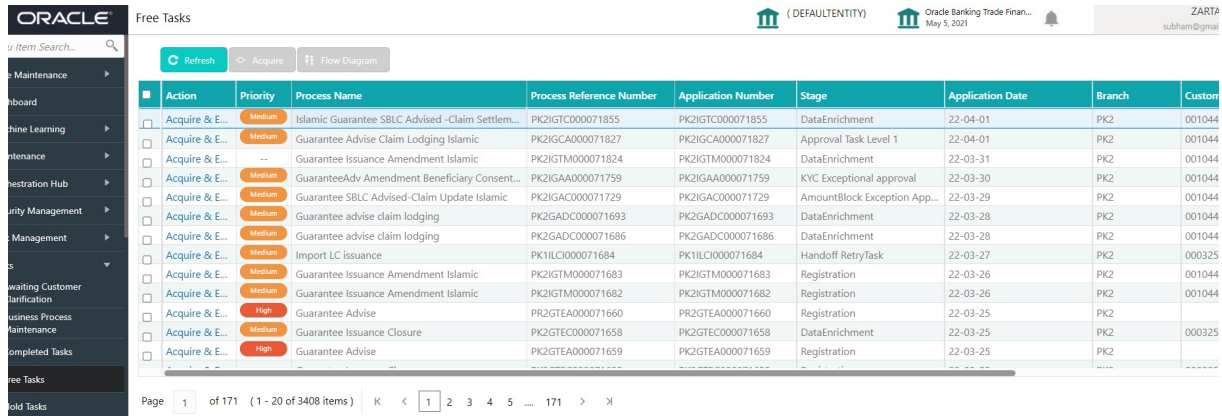
**SLA Status** Cucumber Testing

**Tasks Detailed** Cucumber Testing

Process Reference Number	Proo
--------------------------	------



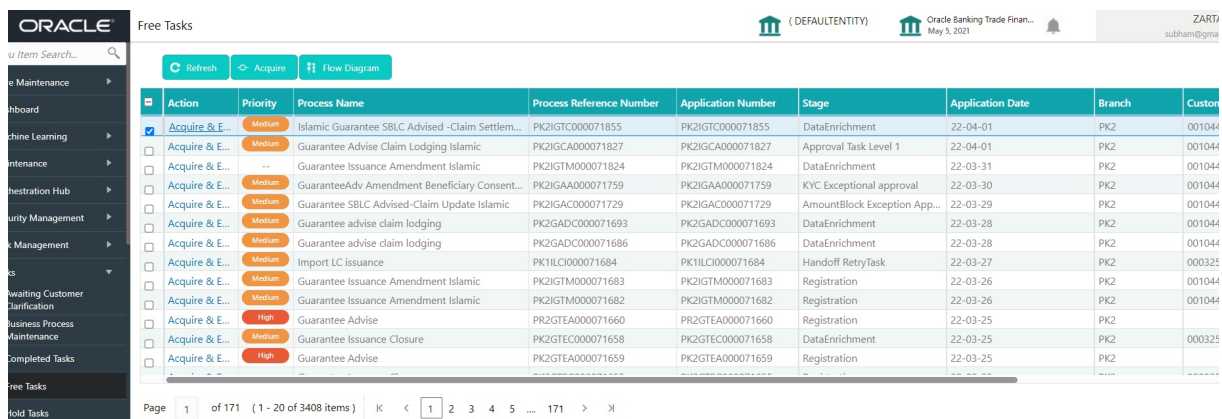
### 3. Click **Tasks> Free Tasks.**



The screenshot shows the Oracle Free Tasks page. The left sidebar contains a navigation menu with options like Maintenance, Board, Learning, Registration Hub, Security Management, and Management. The main area displays a table of tasks with columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Custom. The table lists various tasks related to Islamic Guarantee and SBLC, with priorities ranging from Medium to High. The page includes a search bar, a 'Free Tasks' tab, and a pagination bar at the bottom showing 'Page 1 of 171 (1 - 20 of 3408 items)'.

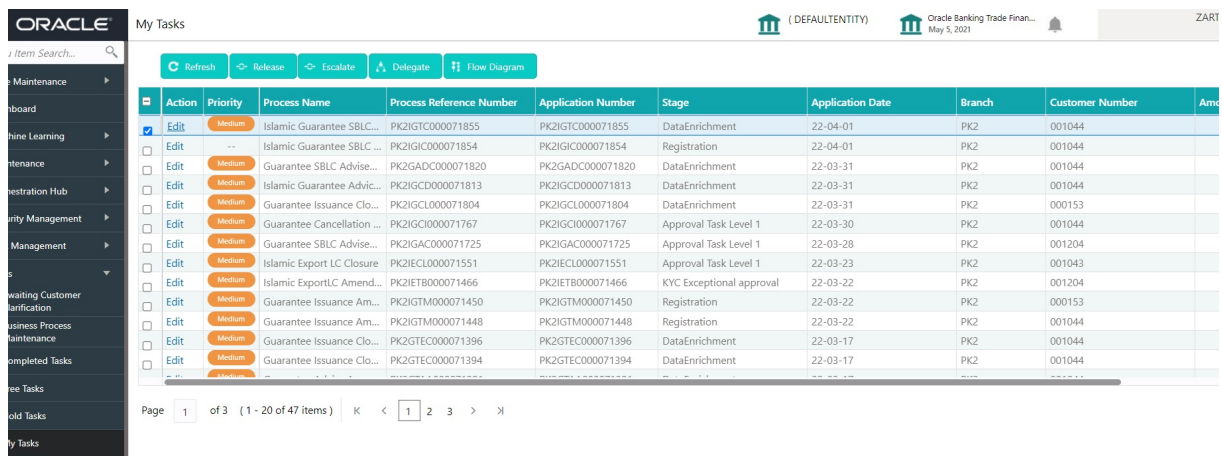
Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Custom
Acquire & E...	Medium	Islamic Guarantee SBLC Advised -Claim Settlement...	PK2IGTC000071855	PK2IGTC000071855	DataEnrichment	22-04-01	PK2	001044
Acquire & E...	Medium	Guarantee Advise Claim Lodging Islamic	PK2IGCA000071827	PK2IGCA000071827	Approval Task Level 1	22-04-01	PK2	001044
Acquire & E...	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071824	PK2IGTM000071824	DataEnrichment	22-03-31	PK2	001044
Acquire & E...	Medium	GuaranteeAdv Amendment Beneficiary Consent...	PK2IGAA000071759	PK2IGAA000071759	KYC Exceptional approval	22-03-30	PK2	001044
Acquire & E...	Medium	Guarantee SBLC Advised-Claim Update Islamic	PK2IGAC000071729	PK2IGAC000071729	AmountBlock Exception App...	22-03-29	PK2	001044
Acquire & E...	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001044
Acquire & E...	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001044
Acquire & E...	Medium	Import LC Issuance	PK1ILC000071684	PK1ILC000071684	Handoff RetryTask	22-03-27	PK2	000325
Acquire & E...	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
Acquire & E...	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
Acquire & E...	High	Guarantee Advise	PK2GTEA000071660	PK2GTEA000071660	Registration	22-03-25	PK2	
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTEC000071658	PK2GTEC000071658	DataEnrichment	22-03-25	PK2	000325
Acquire & E...	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.



This screenshot is identical to the previous one, but the 'Acquire & Edit' button in the top toolbar is highlighted with a blue border, indicating the next step in the process.

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.



The screenshot shows the Oracle My Tasks page. The left sidebar is the same as before. The main area displays a table of tasks with columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, Customer Number, and Amount. The table lists various tasks related to Islamic Guarantee and SBLC, with priorities ranging from Medium to High. The page includes a search bar, a 'My Tasks' tab, and a pagination bar at the bottom showing 'Page 1 of 3 (1 - 20 of 47 items)'.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Am
Edit	Medium	Islamic Guarantee SBLC...	PK2IGTC000071855	PK2IGTC000071855	DataEnrichment	22-04-01	PK2	001044	
Edit	Medium	Islamic Guarantee SBLC ...	PK2IGTC000071854	PK2IGTC000071854	Registration	22-04-01	PK2	001044	
Edit	Medium	Guarantee SBLC Advise...	PK2GADC000071820	PK2GADC000071820	DataEnrichment	22-03-31	PK2	001044	
Edit	Medium	Islamic Guarantee Advic...	PK2IGCD000071813	PK2IGCD000071813	DataEnrichment	22-03-31	PK2	001044	
Edit	Medium	Guarantee Issuance Clo...	PK2IGCL000071804	PK2IGCL000071804	DataEnrichment	22-03-31	PK2	000153	
Edit	Medium	Guarantee Cancellation ...	PK2IGCI000071767	PK2IGCI000071767	Approval Task Level 1	22-03-30	PK2	001044	
Edit	Medium	Guarantee SBLC Advise...	PK2IGAC000071725	PK2IGAC000071725	Approval Task Level 1	22-03-28	PK2	001204	
Edit	Medium	Islamic Export LC Closure	PK2IECL000071551	PK2IECL000071551	Approval Task Level 1	22-03-23	PK2	001043	
Edit	Medium	Islamic ExportLC Amend...	PK2IETB000071466	PK2IETB000071466	KYC Exceptional approval	22-03-22	PK2	001204	
Edit	Medium	Guarantee Issuance Am...	PK2IGTM000071450	PK2IGTM000071450	Registration	22-03-22	PK2	000153	
Edit	Medium	Guarantee Issuance Am...	PK2IGTM000071448	PK2IGTM000071448	Registration	22-03-22	PK2	001044	
Edit	Medium	Guarantee Issuance Clo...	PK2GTEC000071396	PK2GTEC000071396	DataEnrichment	22-03-17	PK2	001044	
Edit	Medium	Guarantee Issuance Clo...	PK2GTEC000071394	PK2GTEC000071394	DataEnrichment	22-03-17	PK2	001044	

The Data Enrichment stage has five sections as follows:

- Main Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of settlement of claim under Guarantee/SBLC - Data Enrichment Stage.

### 3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

#### 3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) in the Registration stage for more information of the fields.

#### 3.3.1.2 Guarantee Details

The fields listed under this section are same as the fields listed under the [3.2.2 Guarantee Details](#) section in [3.2 Registration](#) except 'Status' field. Refer to [3.2.2 Guarantee Details](#) for more information of the fields.

Field	Description	Sample Values
Status	This field displays the status of the Guarantee claim settlement.	

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee/ SBLC Claim update DE stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

### 3.3.2 Additional Fields

#### 3.3.2.1 This stage displays the additional fields based on the User defined fields maintained in the system.

mic Guarantee SBLC Advised - Claim Settlement  
aEnrichment :: Application No:- PK2IGTC000071855

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Fields

Screen ( 2

edit Reject Refer Hold Cancel Save & Close Back Next

#### 3.3.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>● R1- Documents missing</li><li>● R2- Signature Missing</li><li>● R3- Input Error</li><li>● R4- Insufficient Balance/Limits</li><li>● R5 - Others.</li></ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>● R1- Documents missing</li><li>● R2- Signature Missing</li><li>● R3- Input Error</li><li>● R4- Insufficient Balance/Limits</li><li>● R5 - Others.</li></ul>	





Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

### 3.3.3 Advices

A Data Enrichment User can verify the advices details data segment of the incoming Islamic guarantee claim Settlement request. This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	<b>Toggle on:</b> Switch on the toggle if advice is suppressed. <b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	Read only field. Displays the advise name.	

Field	Description	Sample Values
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party ID	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
	Click plus icon to add new FFT code.	
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	



Field	Description	Sample Values
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

### 3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	

Field	Description	Sample Values
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

### 3.3.4 Additional Details

A DE user can verify and enter the basic additional details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated. As a part of Additional details section, Guarantee /Standby claim settlement may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

### 3.3.4.1 Commission, Charges and Taxes

On landing the Additional Details section, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

### 3.3.4.2 Commission Details

The values gets defaulted, In the Commission Details section, If default commission is maintained under the product.

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission has to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the user changes the defaulted Commission to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

### Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	

Field	Description	Sample Values
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>If the user changes the defaulted charging to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. User can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

### 3.3.4.3 Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

### 3.3.4.4 Preview Message

The nbank user can view a preview of the message and advice simulated from back office which is based on the guarantee Standby Advised captured in the previous screen.

Preview Message

Preview - SWIFT Message

LanguageEnglish

Message StatusKTERNAL UNGENERATED

Message Type210

Repair Reason

Preview - Mail Advice

LanguageEnglish

Message StatusGENERATED

Advice TypeDEBIT\_ADVICE

Repair Reason

Preview Message

DEBIT ADVICE/TAX INVOICE  
-----  
DATE:03-AUG-23PAGE : 1  
BRANCH ID:  
BRANCH NAME:  
BANK TRN:100282764800003  
TRANS TIME:  
  
Air Arabia  
Air Arabia  
gopinath.subramanian@oracle.com;CC;shahu1.ha.hameed@oracle.com  
  
Debit Advice  
-----

Save & CloseClose

### 3.3.5 The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message Type	Select the message type.	
Message Status	Read only field. Display the message status of draft message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of advice message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of advice message of guarantee details.	
Preview Message	Display a preview of the advice.	

#### 3.3.5.1 Payment Details

As part of DE, the bank user can capture the payment details under a claim. The user can also be able to input the transaction details.



The user can scrutinize the claim settlement request and input data as required.

Payment Details

PaymentDetails

Liquidate using Collateral ☐ Outstanding Collateral Amount  Settle Available Amount ☒ Loan For claim Settlement ☐

Lit Settlement ☒

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	Original Exchange Rate	Exchange Rate	Deal Reference Number
No data to display.									

Page 1 (0 of 0 items) < 1 >

Split Settlement

Component  Contract Currency  Amount

No data to display.

Split Settlement Details

select	Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Original Exchange Rate	Exchange Rate	Deal Reference Number
<input type="checkbox"/>	1	<input type="text"/>	1000000137	100008	GBP	000			

Save & Close Close

Provide the payment details based on the description in the following table:

Field	Description	Sample Values
Liquidate using Collateral	If the claim settlement has to be paid, vide collateral the user has to select the Liquidate using collateral. User can liquidate using collateral only if collateral has been mapped at the time of Guarantee Issuance. In case collateral not mapped during issuance, user cannot add collaterals and use the same during liquidation.	
Outstanding Collateral Amount	Read Only field. System defaults the outstanding collateral amount (if mapped).	
Split Settlement	<b>Toggle On:</b> Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill <b>Toggle Off:</b> Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill	Disable
Settlement Details		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	

Field	Description	Sample Values
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	
<b>Split Settlement</b> If the proceeds under the claim has to be settled between multiple parties the split settlement screen can be used.		
Component	Components gets defaulted based on the product selected.	
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
<b>Split Settlement Details</b> Click the '+' Plus icon to add the multiple split settlement details record. Click the '-' Minus icon to delete the split settlement details record.		
Select	Check box to select the record	
Sequence	Sequence of the settlement details.	
Amount	Specify the amount for the split settlement.	
Settlement Account	Specify or click Search to search and select the settlement Account.	
Account Customer	Customer account is defaulted on selection of Settlement account.	
Account Currency	Currency of the account is defaulted on selection of Settlement account.	
Account Branch	Branch of the customer's account is defaulted on selection of Settlement account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate for the split settlement.	



Field	Description	Sample Values
Deal Reference Number	The exchange deal reference number.	





### 3.3.5.2 FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

Linkage

FX Linkage +

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
032FXF2232153004			AED 0.00	1.5	AED 0.00		Jan 2, 2024	 

Page 1 of 1 (1 of 1 items)


1



Average FX Rate

Save & Close
Close

Provide the FX linkage detail based on the description in the following table:

FX Linkage
✕

FX Reference Number \*

032FXF2232153004 🔍

Contract Amount

AED ▼

AED 2,000,000.00

Linkage Amount \*

AED ▼

AED 0.00

FX Amount in Local Currency

▼

AED 2,000,000.00

FX Delivery Period From

📅

Currency

AED

Available FX Contract Amount

AED ▼

AED 0.00

Rate

1.5

▼

▲

FX Expiry Date

Jan 2, 2024

📅

FX Delivery Period To

📅

Save & Close

Close

Field	Description	Sample Values
Click + plus icon to add new FX linkage details.		
Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.		
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>	

Field	Description	Sample Values
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the “Available Amount” in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation “Sum of Linked amount will not be greater than contract amount” or “Linkage amount will not be greater than the available amount for linkage” should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Currency	<p>This field displays the FX amount in local currency.</p> <p>The value is defaulted as FX BOT currency and Amount from FXDTRONL</p>	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	<p>Sum of Linked amount will not be greater than LC contract amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	

Field	Description	Sample Values
Total Utilized amount	<p>This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.</p> <p>The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG</p>	
Average FX Rate	<p>Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.</p>	
Action	<p>Click the Edit icon to modify the FX details.</p> <p>Click the Delete icon to delete the FX details.</p>	

### 3.3.5.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>Click Next to move to next logical step in Data Enrichment stage.</p>	
Documents	<p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p>	

### 3.3.6 Settlement Details

A DE user can verify and enter the basic settlement details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated.

**Settlement Details**

☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate
CLAIM_CUST_AMT	AED	Debit	0323160016	MashreqBank PSC, f	USD	No	Yes	3	3
CLAIM_CUST_AMT_FX	AED	Debit	0323160016	MashreqBank PSC, f	USD	No	Yes		
CLAIM_SETTLE_AMT	AED	Credit	0322040001	Air Arabia	AED	No	Yes	1	
COLLAMT_OSEQ	AED	Credit	0322040001	Air Arabia	AED	No	No		
COLLAMNDAMTEQ	AED	Debit	0322040001	Air Arabia	AED	No	No		
COLLAMT	AED	Debit	0322040001	Air Arabia	AED	No	No		
COLLAMT_DECR	AED	Credit	0322040001	Air Arabia	AED	No	No		
COLLAMT_INCR	AED	Debit	0322040001	Air Arabia	AED	No	No		
LIEKADV_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	No	1	
LIGCLM_LIQD	GBP	Debit	0322040001	Air Arabia	AED	No	No		

**CLAIM\_CUST\_AMT\_FX - Party Details**

Transfer Type: Bank Transfer

Ordering Institution:  Name/Account

Account With Institution:  Name/Account

Receiver:  MSHQUS33XXX

Charge Details: Remitter All Charges

Senders Correspondent:  Name/Account

Beneficiary Institution:  WEBRUS33

Netting Indicator:

Receivers Correspondent:  Name/Account

Ultimate Beneficiary:  Name/Account

Ordering Customer:  Name/Account

Intermediary Institution:  Name/Account

Intermediary Reimbursement Institution:  Name/Account

**Payment Details**

Sender To Receiver 1:  Only /BX/XXX or //XXX format is allowed

Sender To Receiver 2:  /BX/XXX or //XXX format is allowed

Sender To Receiver 3:  /BX/XXX or //XXX format is allowed

Sender To Receiver 4:  /BX/XXX or //XXX format is allowed

Sender To Receiver 5:  /BX/XXX or //XXX format is allowed

Sender To Receiver 6:  /BX/XXX or //XXX format is allowed

**Remittance Information**

Payment Detail 1:

Payment Detail 2:

Payment Detail 3:

Payment Detail 4:

Buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, No

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Read only field. System defaults the value from Guarantee /SBLC claim.	
Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Debit/Credit	Read only field. System defaults the value from Guarantee /SBLC claim.	



Field	Description	Sample Values
Account	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Description	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Netting Indicator	Read only field. System defaults the value from Guarantee /SBLC claim.	
Current Event	System displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

### 3.3.6.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul>	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	

Field	Description	Sample Values
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

### 3.3.6.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

### 3.3.6.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

### 3.3.6.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

### 3.3.7 Summary

User can review the summary details in Data Enrichment stage of Guarantee /Standby Claim settlement request.

In this section the user can see the summary tiles. User must be also able to drill down from summary tiles into respective data segments.

The screenshot shows the Oracle Banking Trade Finance application interface. The top navigation bar includes the Oracle logo, user information (ENTITY\_ID1, ENTITY\_L...), and the date (Aug 3, 2023). The main header displays the application type (Guarantee SBLC Advised - Claim Settlement) and the application number (032IGTC000167463). The left sidebar contains a menu with options like Main, Advices, Additional Details, Settlement Details, and Summary (which is currently selected). The main content area is titled 'Summary' and displays a grid of summary tiles. The tiles are organized into two rows. The first row includes 'Main', 'Advices', 'Commission, Charges and taxes', and 'Preview Messages'. The second row includes 'Payment Details', 'Settlement Details', 'Party Details', and 'Compliance'. The third row includes 'Accounting Details' and 'FX Linkage'. Each tile contains key-value pairs representing various details. At the bottom of the screen, there is a navigation bar with buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', 'Next', and 'Submit'.

Main	Advices	Commission, Charges and taxes	Preview Messages
Booking Date : 2023-08-03 Submission Mode : Desk Amount : AED 500	Advice 1 : GUA_PAY_ADV Advice 2 : PAYMENT_MESS...	Charge : GBP 50.00 Commission : Tax : Block Status : Not Initiated	Language : ENG Preview Message : -

Payment Details	Settlement Details	Party Details	Compliance
Advance by Loan : Liquidate using : Collateral :	Component : LIGCLP_LIQD Account Number : 0322040001 Currency : GBP	Beneficiary : Alir Arabia Applicant : Aldar Proper... Issuing Bank : MashreqBank ...	KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate...

Accounting Details	FX Linkage
Event : AccountNumber : Branch :	Reference Number : Linkage Amount : Contract Currency :

#### Tiles Displayed in Summary

- Main - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Advices - User can view the advices details.
- Commission, Charges and taxes - User can view the details provided for commission, charges and taxes. User can modify the details if required.

- **Preview Message** - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- **Payment Details** - User can view the payment details.
- **Settlement Details** – User should be able to view the settlement details.
- **Party Details** - User can view the party details like beneficiary, advising bank etc.
- **Compliance** – The compliance tile has the KYC, Sanctions and AML.
- **Accounting Details** - User can see the accounting details.

---

#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- **FX Linkage** – User can view the FX Linkages.

### 3.3.7.1 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Submit	On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

### 3.4 Multi Level Approval

This stage allows the approver user to approve a Claim settlement under Guarantee Advise Transaction.

The user can view the summary of details updated in multilevel approval stage for Islamic Guarantee Claim Settlement request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

---

## Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

### 3.4.1 Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Claim Amount
- Currency

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey

View Signature Documents Remarks

Claim Amount

AED AED 100.00 ✓

Currency

AED ✓

Refer Close Proceed

In Approval, the user can view a snapshot of the amendment made to this transaction. Corresponding to the field the current latest Guarantee value and the new amended value should be displayed.

### 3.4.1.1 Approval Summary

mic Guarantee SBLC Advised -Claim Settlement  
Approval Task Level 1 :: Application No:- PK2IGTC000071855

Documents
Remarks
Overrides
Customer Instruction
Incoming Message
View Undertaking

Main	Additional Fields	Advices	Commission, Charges and taxes	Preview Messages
Working Date : 2021-05-05 Submission Mode : Desk Amount : GBP 4	Click here to view : Additional fields	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Payment Details	Settlement Details	Party Details	Compliance	Accounting Details
Advance by Loan : Guidate using : Collateral	Component : Account Number : Currency :	Beneficiary : GOODCARE PLC Confirming Bank : MARKS AND Applicant : WELLS FARG	KYC : Not Verified Sanctions : Verified AML : Verified	Event : Account Number : Branch :
Exception(Approval)				
AmountBlock.KYC : EXCEPTION .EASE VISIT : - :MARKS FOR MORE DETAILS				

Edit
Reject
Hold
Refer
Cancel
Approve

#### Tiles Displayed in Summary

#### Tiles Displayed in Summary

- Main - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Advices - User can view the advices details.
- Commission, Charges and taxes - User can view the details provided for commission, charges and taxes. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Payment Details - User can view the payment details.
- Settlement Details – User should be able to view the settlement details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance – The compliance tile has the KYC, Sanctions and AML.
- Accounting Details - User can see the accounting details.

#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Exception(Approval) – User can view the Exception(Approval) details.
- FX Linkage – User can view the FX Linkages.



### 3.4.1.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	Cancel the Guarantee Advise approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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